

EE 232 Lightwave Devices Lecture 5: Time-Dependent Perturbation Theory, Fermi's Golden Rule

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EE232 Lecture 5-1 Acknowledgment: some lecture materials are provided by Seth Fortuna Frof. Ming Wu

Two-level system

$$
i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H}_0 |\Psi(t)\rangle
$$

$$
|\Psi(t)\rangle = C_1 |\psi_1\rangle e^{-iE_1t/\hbar} + C_2 |\psi_2\rangle e^{-iE_2t/\hbar}
$$

Where $|C_1|^2$ and $|C_2|^2$ are the probability that an electron is in state $|1\rangle$ or $|2\rangle$ respectively.

Time-dependent perturbation

$$
i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \left[\hat{H}_0 + \hat{H}'(\mathbf{r}, t)\right] |\Psi(t)\rangle
$$

$$
|\Psi(t)\rangle = C_1(t) |\psi_1\rangle e^{-iE_1t/\hbar} + C_2(t) |\psi_2\rangle e^{-iE_2t/\hbar}
$$

We presume that the perturbation is small such that the eigenstates are not modified, but the probability of finding an electron in each state may change with time.

Time-dependent perturbation

Plug $\Psi(t)$ into Schrodinger's equation

$$
i\hbar \frac{\partial}{\partial t} \Big[C_1(t) \big| \psi_1 \rangle e^{-iE_1 t/\hbar} + C_2(t) \big| \psi_2 \rangle e^{-iE_2 t/\hbar} \Big]
$$

=
$$
\Big[\hat{H}_0 + \hat{H}'(\mathbf{r}, t) \Big] \Big[C_1(t) \big| \psi_1 \rangle e^{-iE_1 t/\hbar} + C_2(t) \big| \psi_2 \rangle e^{-iE_2 t/\hbar} \Big]
$$

After quite a bit of rearranging we find two coupled equations:

$$
i\hbar \frac{dC_1(t)}{dt} = \langle \psi_1 | \hat{H}'(\mathbf{r},t) | \psi_1 \rangle C_1(t) + \langle \psi_1 | \hat{H}'(\mathbf{r},t) | \psi_2 \rangle C_2(t) e^{-i\omega_0 t}
$$

\n
$$
i\hbar \frac{dC_2(t)}{dt} = \langle \psi_2 | \hat{H}'(\mathbf{r},t) | \psi_2 \rangle C_2(t) + \langle \psi_2 | \hat{H}'(\mathbf{r},t) | \psi_1 \rangle C_1(t) e^{i\omega_0 t}
$$

\nwhere $\omega_0 = E_2 - E_1$

Dipole approximation

The perturbing electric-field from the incident light can be written $E = \hat{\chi}E_0\cos(\omega t - kz)$

The electric-field will not vary much over the length of our two-level system and therefore we can place our system at the origin and evaluate the field there such that

$$
E = \hat{x}E_0 \cos(\omega t). \qquad \text{dipole}
$$
\n
$$
F = -qE \text{ (force on electron)}
$$
\n
$$
\text{Potential energy } = -\int \mathbf{F} \cdot d\vec{x}
$$
\n
$$
= qxE_0 \cos(\omega t)
$$

This potential energy is precisely equal to our perturbing Hamiltonian in this dipole approximation.

$$
\hat{H}'(x,t) = qxE_0 \cos(\omega t)
$$

Dipole approximation

$$
\langle \psi_1 | \hat{H}'(x,t) | \psi_2 \rangle = \int \psi_1^*(qxE_0 \cos(\omega t)) \psi_2 dV
$$

= $qE_0 \cos(\omega t) \mathbf{x}_{12}$ where $\mathbf{x}_{12} = \langle \psi_1 | x | \psi_2 \rangle$

$$
\langle \psi_1 | \hat{H}'(x,t) | \psi_1 \rangle = \langle \psi_2 | \hat{H}'(x,t) | \psi_2 \rangle = 0
$$
 since $\hat{H}'(x,t)$ is odd function

Then,

$$
i\frac{dC_1(t)}{dt} = v \cos(\omega t) C_2(t) e^{-i\omega_0 t}
$$

$$
i\frac{dC_2(t)}{dt} = v \cos(\omega t) C_1(t) e^{i\omega_0 t} \qquad \text{where } \hbar v = qE_0 \mathbf{x}_{12}
$$

Weak-field limit

Presume the two-level system is in the initial state:

$$
C_1(0) = 1
$$
 and $C_2(0) = 0$

Further, presume the perturbation is weak and the transition rate from the first to second state is slow. i.e., assume:

$$
C_1(t) = 1
$$
 and $\frac{dC_1(t)}{dt} = 0$

Plug this guess into the coupled equations on previous slide, to find:

$$
C_2(t) = \frac{v}{2} \left[\frac{1 - e^{i(\omega_0 - \omega)t}}{\omega_0 - \omega} + \frac{1 - e^{i(\omega_0 + \omega)t}}{\omega_0 + \omega} \right]
$$

Weak-field limit

Rewrite

$$
C_2(t) = -2i\frac{v}{2} \left[\frac{e^{i(\omega_0 - \omega)t/2} \sin[(\omega_0 - \omega)t/2]}{\omega_0 - \omega} + \frac{e^{i(\omega_0 + \omega)t/2} \sin[(\omega_0 + \omega)t/2]}{\omega_0 + \omega} \right]
$$

$$
\begin{aligned}\n\left|C_{2}(t)\right|^{2} &= C_{2}(t)C_{2}^{*}(t) \\
&= \left|\frac{v}{2}\right|^{2}\left[\frac{\sin[(\omega_{0} - \omega)t/2]}{(\omega_{0} - \omega)/2}\right]^{2} + \left(\frac{\sin[(\omega_{0} + \omega)t/2]}{(\omega_{0} + \omega)/2}\right)^{2} + \\
&= \left|\frac{v}{2}\right|^{2}\left[\frac{2\cos(2\omega t)\frac{\sin[(\omega_{0} - \omega)t/2]}{(\omega_{0} - \omega)/2}\frac{\sin[(\omega_{0} + \omega)t/2]}{(\omega_{0} + \omega)/2}\right] \\
&= \left|\frac{v}{2}\right|^{2}\left[\left(\frac{\sin[(\omega_{0} - \omega)t/2]}{(\omega_{0} - \omega)/2}\right)^{2} + \left(\frac{\sin[(\omega_{0} + \omega)t/2]}{(\omega_{0} + \omega)/2}\right)^{2}\right]\n\end{aligned}
$$

Long interaction time

$$
\begin{split}\n\left|C_{2}(t)\right|^{2} &= \left|\frac{v}{2}\right|^{2} \delta(\omega_{\hat{n}} - \omega)2\pi t \quad w_{\hat{n}} = \frac{dP}{dt} = \left|\frac{v}{2}\right|^{2} \delta(\omega_{\hat{n}} - \omega)2\pi \\
&= \left|\frac{qE_{0}\mathbf{x}_{12}}{2\hbar}\right|^{2} \delta(\omega_{\hat{n}} - \omega)2\pi \\
&= \frac{2\pi}{\hbar^{2}} \left|\left\langle \psi_{f}\right| \frac{qE_{0}x}{2} |\psi_{i}\rangle\right|^{2} \delta(\omega_{\hat{n}} - \omega) = \left|\frac{2\pi}{\hbar^{2}}\left|\hat{H}_{\hat{n}}\right|^{2} \delta(\omega_{\hat{n}} - \omega)\right|\n\end{split}
$$

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Continuum of final states

Delta function is highly singular unless evaluated in an integral. Again, sum over a continuum of final states.

$$
W = \sum_{f} \frac{2\pi}{\hbar^2} \left| \hat{H}_{f\hat{I}} \right|^2 \delta(\omega_{f\hat{I}} - \omega)
$$

=
$$
\int \frac{2\pi}{\hbar^2} \left| \hat{H}_{f\hat{I}} \right|^2 \delta(\omega_{f\hat{I}} - \omega) g(\omega_{f\hat{I}}) d\omega_{f\hat{I}}
$$

=
$$
\frac{2\pi}{\hbar^2} \left| \hat{H}_{f\hat{I}} \right|^2 g(\omega)
$$

 $g(\omega_{\hat{n}})$: density of states

Stimulated emission

Consider the stimulated emission term next. Follow the same procedure to find the transition rate to a continuum set of final states,

This form is slightly different than Chuang. It is written this way (using the even property of the delta function) to emphasize that the impinging light energy is positive and the energy of the initial state is higher than the energy of the final state.

Summary: Fermi's Golden Rule

Absorption Stimulated emission

Fermi's Golden Rule for arbitrary periodic perturbation

We derived Fermi's Golden Rule for a particular periodic perturbation

$$
\hat{H}'(x,t) = qxE_0 \cos(\omega t) = \frac{qxE_0}{2} \left(e^{i\omega t} + e^{-i\omega t}\right)
$$

However, we could have performed the derivation for some arbitrary harmonic perturbation with the form

$$
\hat{H}'(\mathbf{r},t) = H'(\mathbf{r})\left(e^{i\omega t} + e^{-i\omega t}\right)
$$

Then, for a general harmonic perturbation, the transition rate for absorption is given by:

$$
w_{fi} = \frac{2\pi}{\hbar^2} \left| \langle \psi_f | \hat{H}'(\mathbf{r}) | \psi_i \rangle \right|^2 \delta(\omega_{fi} - \omega)
$$

$$
= \frac{2\pi}{\hbar^2} \left| \hat{H}_{fi} \right|^2 \delta(\omega_{fi} - \omega)
$$