



# EE 232 Lightwave Devices

## Lecture 13: Gain in bulk semiconductors

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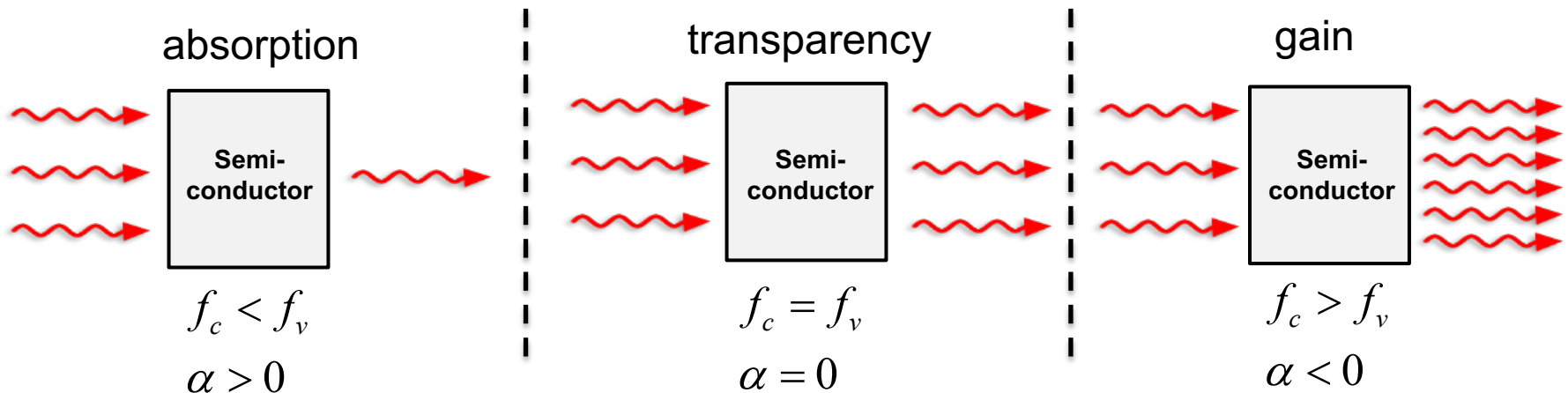


# Gain in a semiconductor

$$\alpha(\hbar\omega) = C_0 M_b^2 \rho_r(\hbar\omega) [f_v(\hbar\omega) - f_c(\hbar\omega)]$$

This expression implies that absorption becomes negative if  $f_c > f_v$ . Negative absorption is simply gain. We get more photons out than what we put in.

$f_c = f_v$  is known as the transparency condition. Gain is precisely balanced by absorption and the material is “transparent”.





# Transparency condition

$$f_c = \frac{1}{1 + \exp[(E_g + (\hbar\omega - E_g)m_r^*/m_e^* - F_c) / kT]}$$

$$f_v = \frac{1}{1 + \exp[(-(\hbar\omega - E_g)m_r^*/m_h^* - F_v) / kT]}$$

$$f_c = f_v \rightarrow E_g + (\hbar\omega - E_g)m_r^*/m_e^* - F_c = -(\hbar\omega - E_g)m_r^*/m_h^* - F_v$$

$$F_c - F_v = E_g + (\hbar\omega - E_g)m_r^*/m_e^* + (\hbar\omega - E_g)m_r^*/m_h^*$$

$$= E_g + (\hbar\omega - E_g) \left( m_r^* \frac{m_e^* + m_h^*}{m_e^* m_h^*} \right)$$

$$= E_g + (\hbar\omega - E_g)(1)$$

$$F_c - F_v = \hbar\omega$$

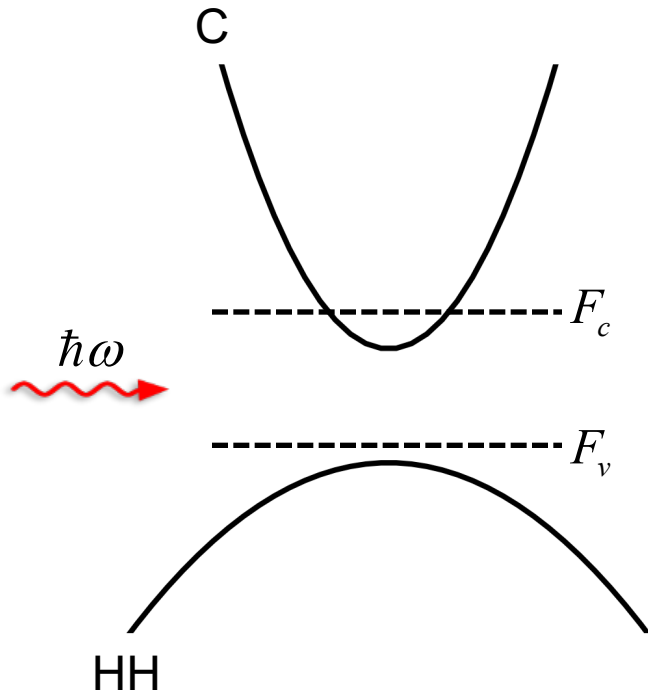
$F_c - F_v < \hbar\omega$  (absorption)

$F_c - F_v = \hbar\omega$  (transparency)

$F_c - F_v > \hbar\omega$  (gain)



# Transparency carrier concentration (estimation)



## Conduction band:

Quasi-Fermi level can easily push into the band such that Boltzmann approx. is not valid.

$$n = N_c F_{1/2} \left( \frac{F_c - E_c}{kT} \right)$$
$$\sim N_c \frac{4}{3\sqrt{\pi}} \left( \frac{F_c - E_c}{kT} \right)^{3/2} \quad \text{for } F_c - E_c \gg kT$$

$$F_c \sim n_{tr}^{2/3} \left( \frac{3\sqrt{\pi}}{4N_c} \right)^{2/3} + E_c$$

## Valence band:

At transparency, Quasi-Fermi level does not easily push into band. Boltzmann approx. is often adequate

$$p = N_v \exp \left( \frac{E_v - F_v}{kT} \right)$$

$$F_v = E_v - kT \ln \left( \frac{p_{tr}}{N_v} \right)$$



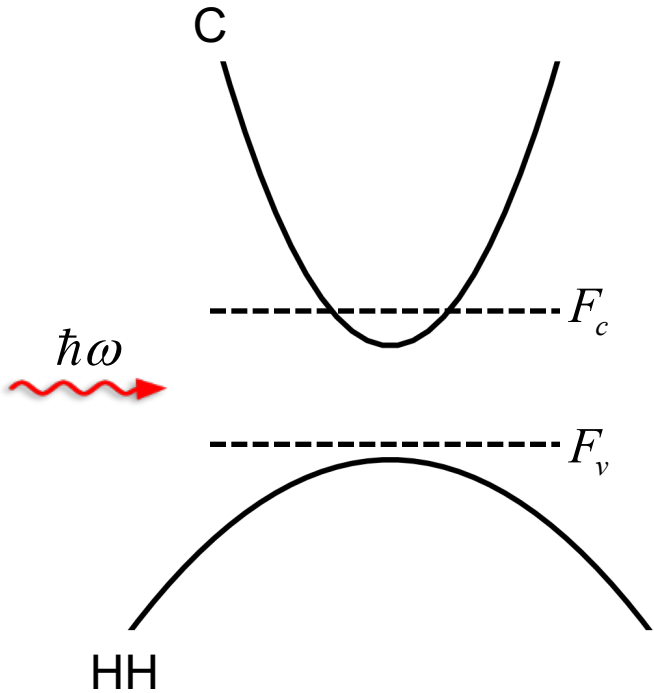
# Transparency carrier concentration (estimation)

Assume quasi-neutrality applies

$$n = p \text{ and } F_c - F_v = \hbar\omega = E_g$$

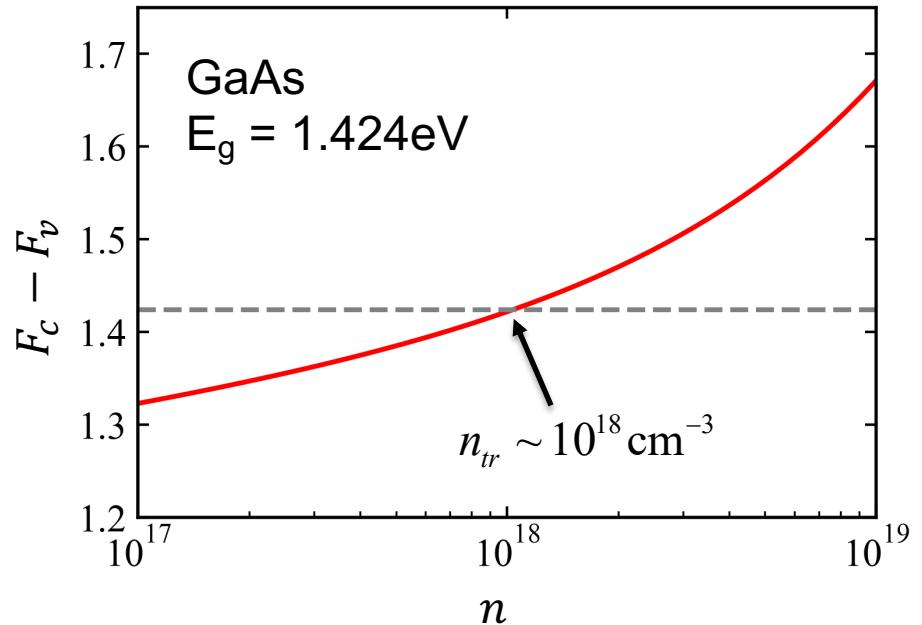
$$\rightarrow kT n_{tr}^{2/3} \left( \frac{3\sqrt{\pi}}{4N_c} \right)^{2/3} + E_c - E_v + kT \ln \left( \frac{n_{tr}}{N_v} \right) = E_g$$

$$n_{tr}^{2/3} \left( \frac{3\sqrt{\pi}}{4N_c} \right)^{2/3} = \ln \left( \frac{N_v}{n_{tr}} \right)$$



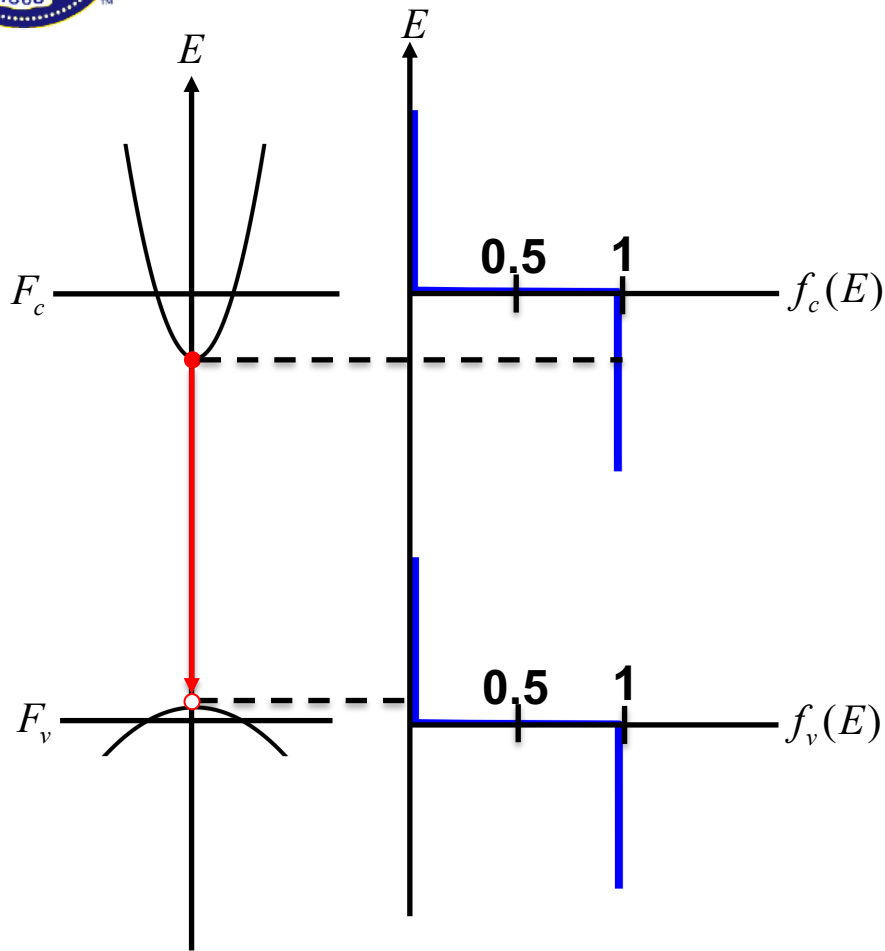
Note that  $n_{tr}$  depends only weakly upon effective mass therefore transparency carrier concentration is similar for different direct-bandgap semiconductors.

Solve graphically





# Gain spectrum (T=0K)

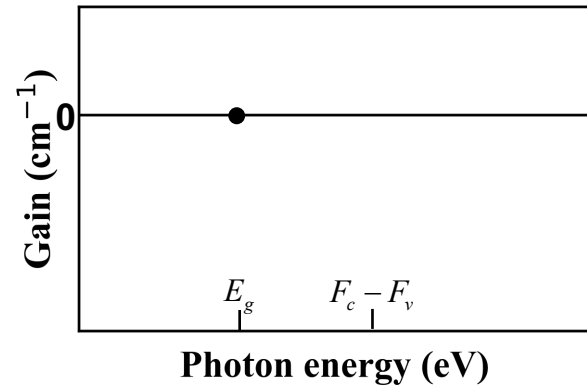
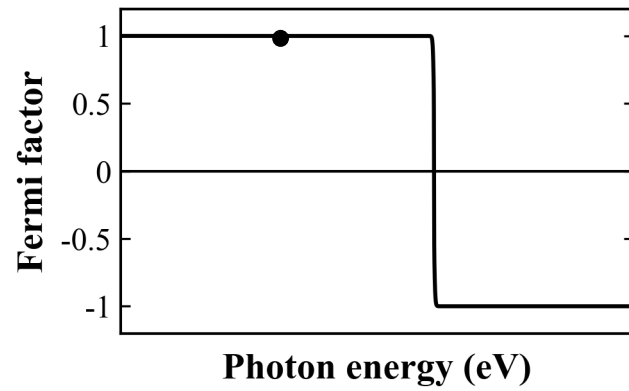
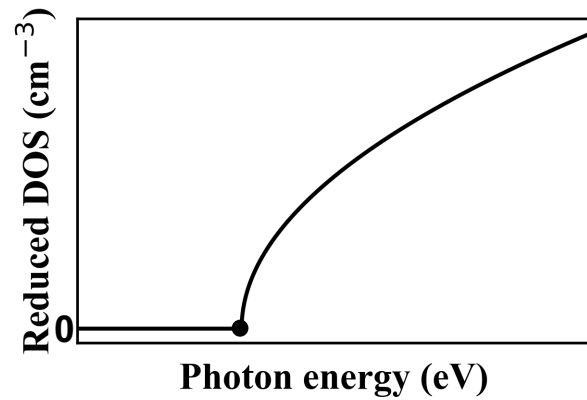


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$

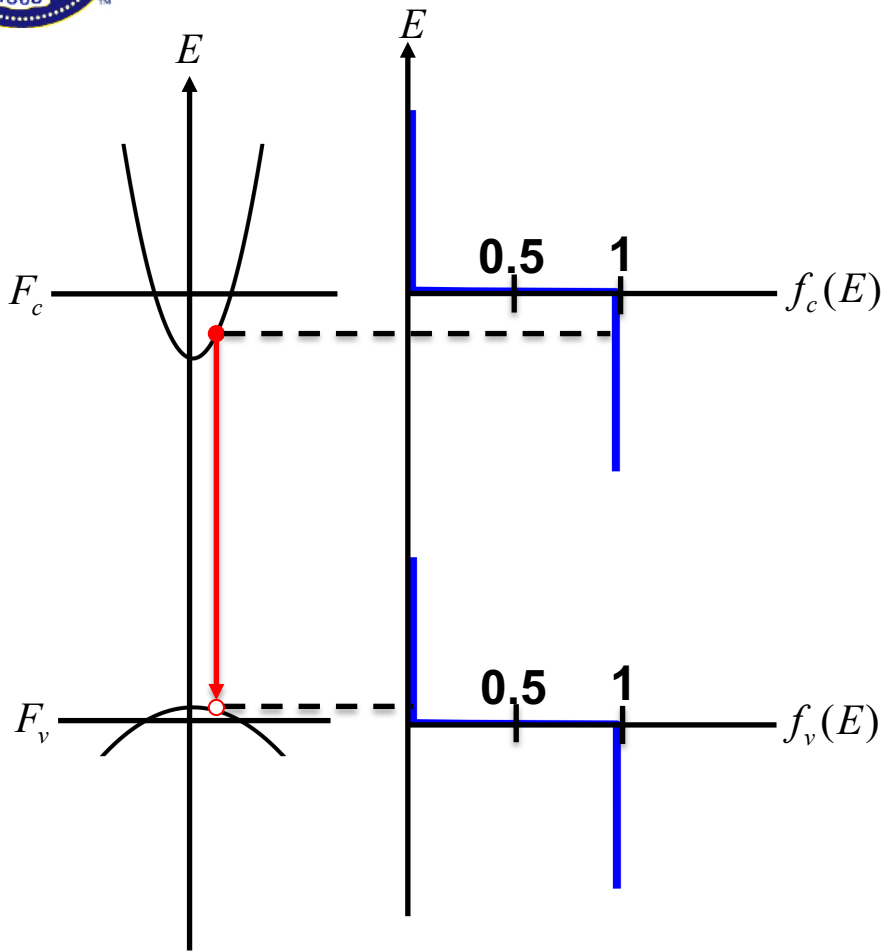


Fermi inversion factor





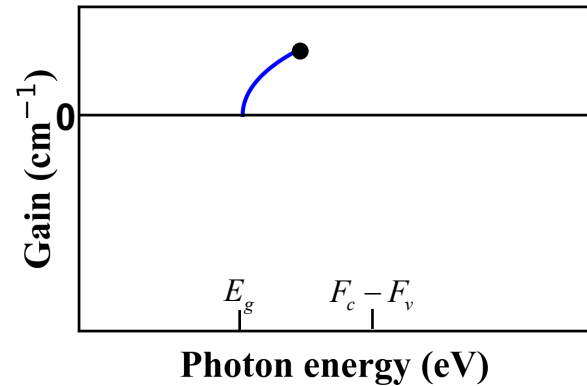
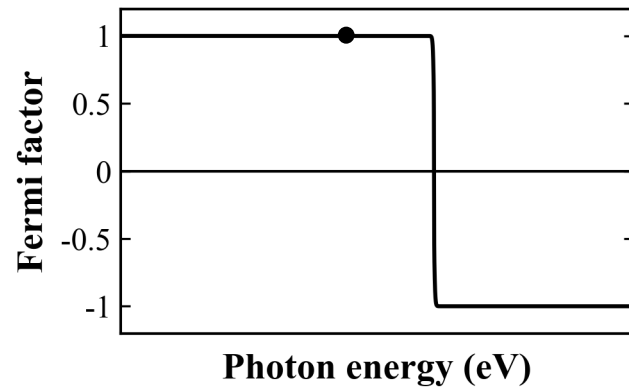
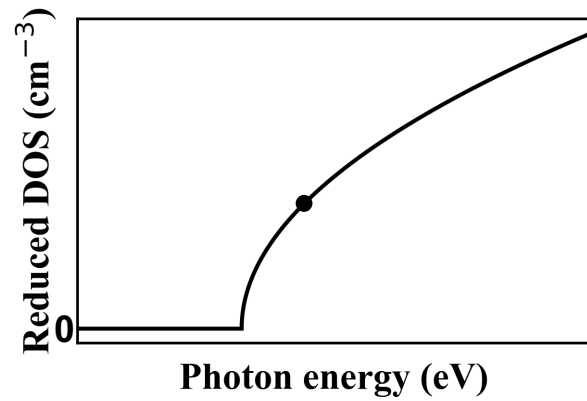
# Gain spectrum (T=0K)



$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

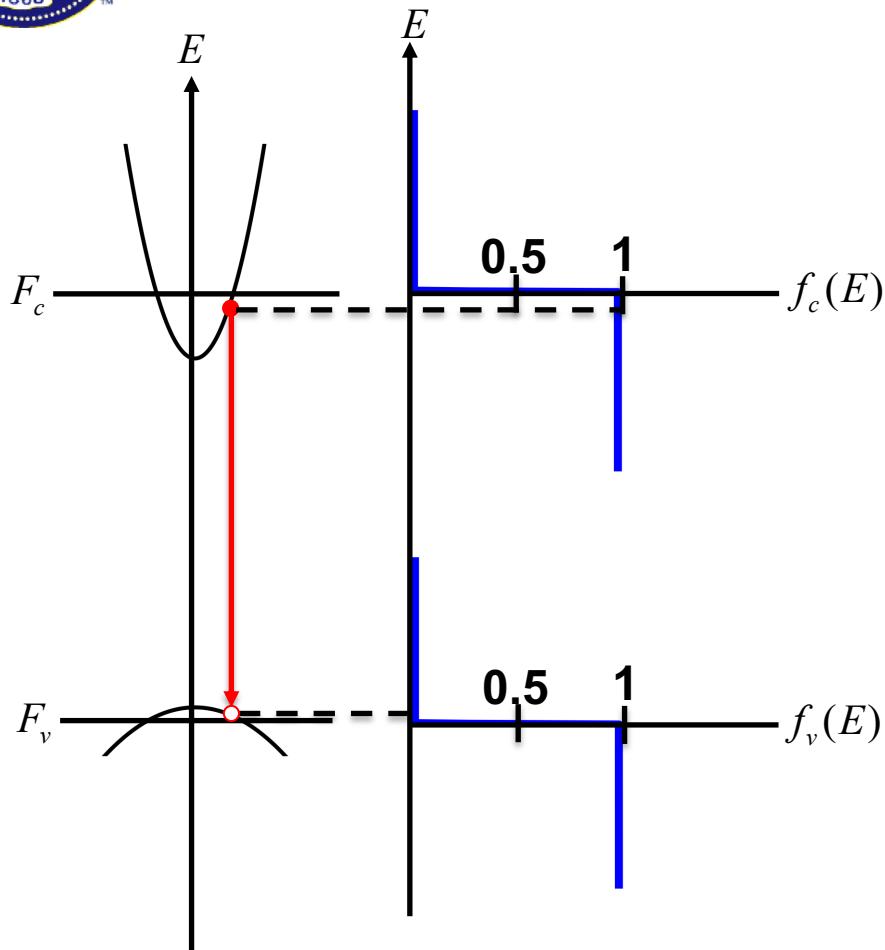
$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$

Fermi inversion factor





# Gain spectrum (T=0K)

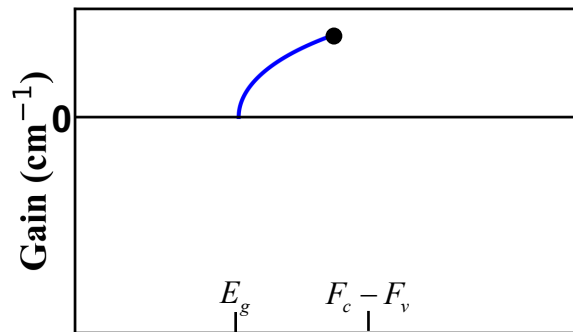
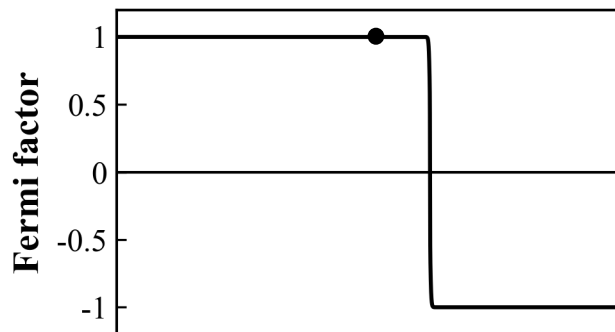
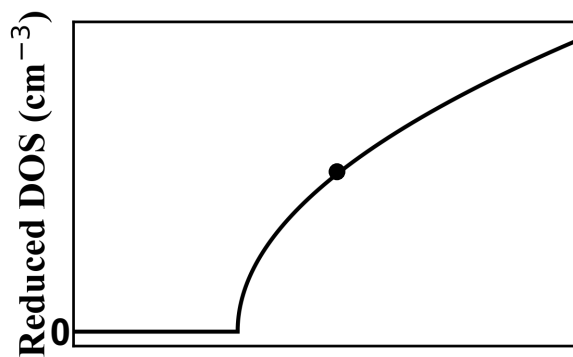


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$



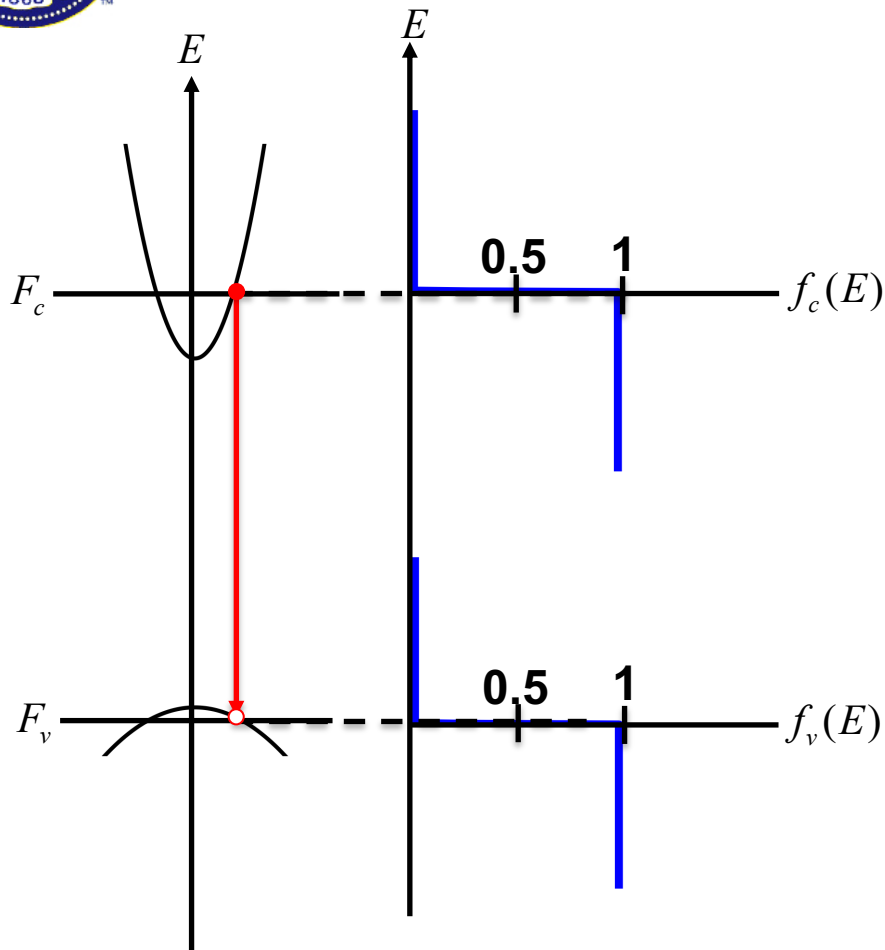
Fermi inversion factor







# Gain spectrum (T=0K)

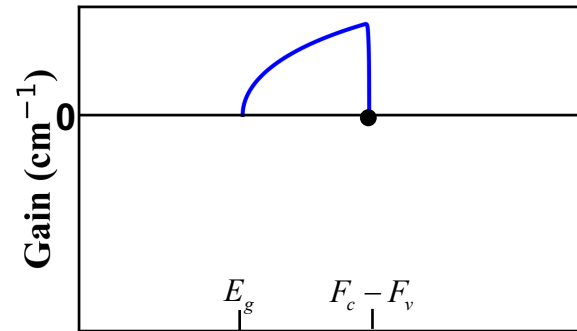
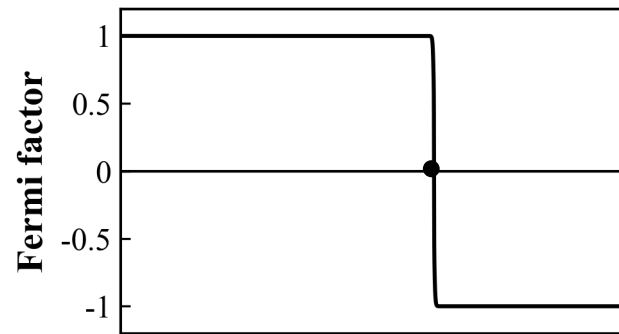
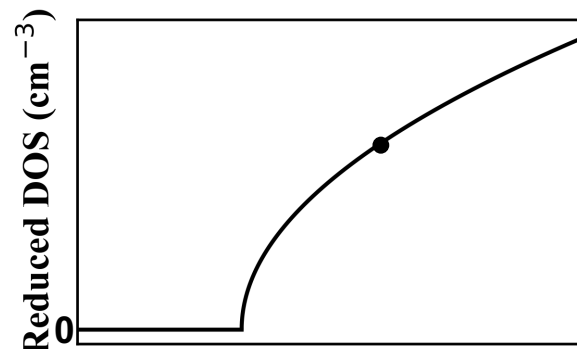


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$

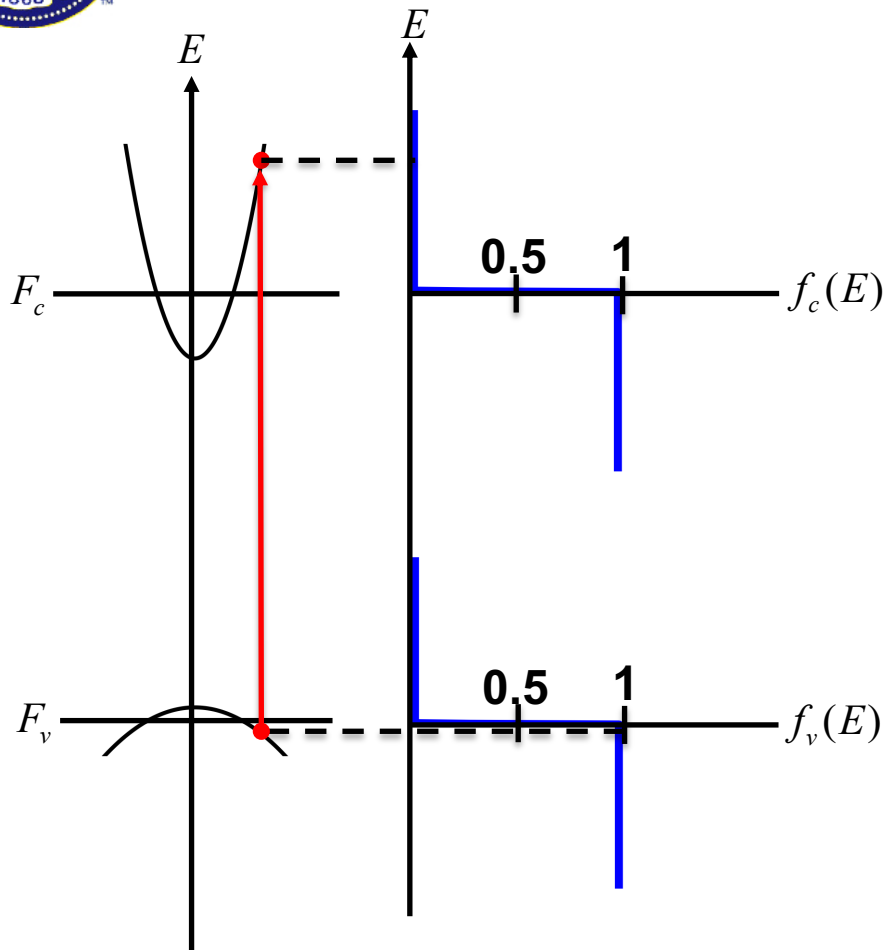


Fermi inversion factor





# Gain spectrum (T=0K)

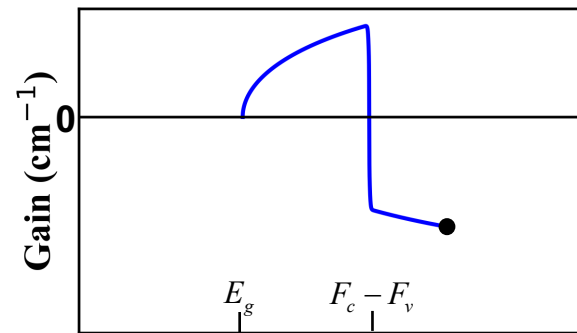
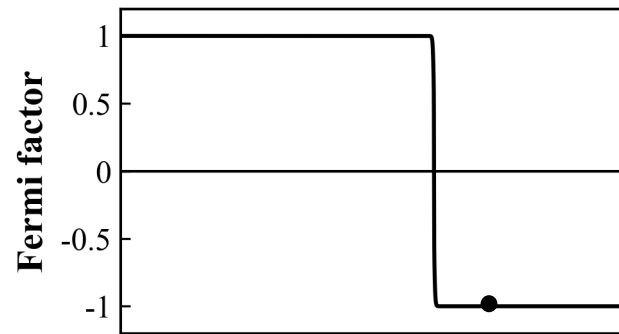
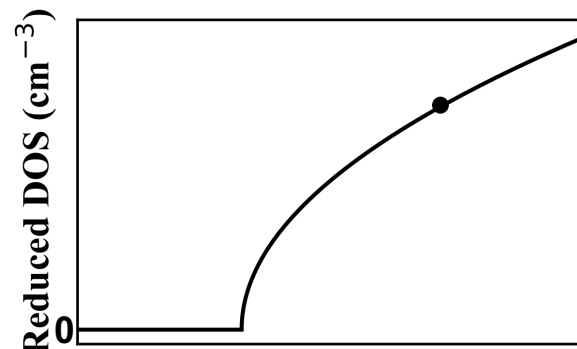


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

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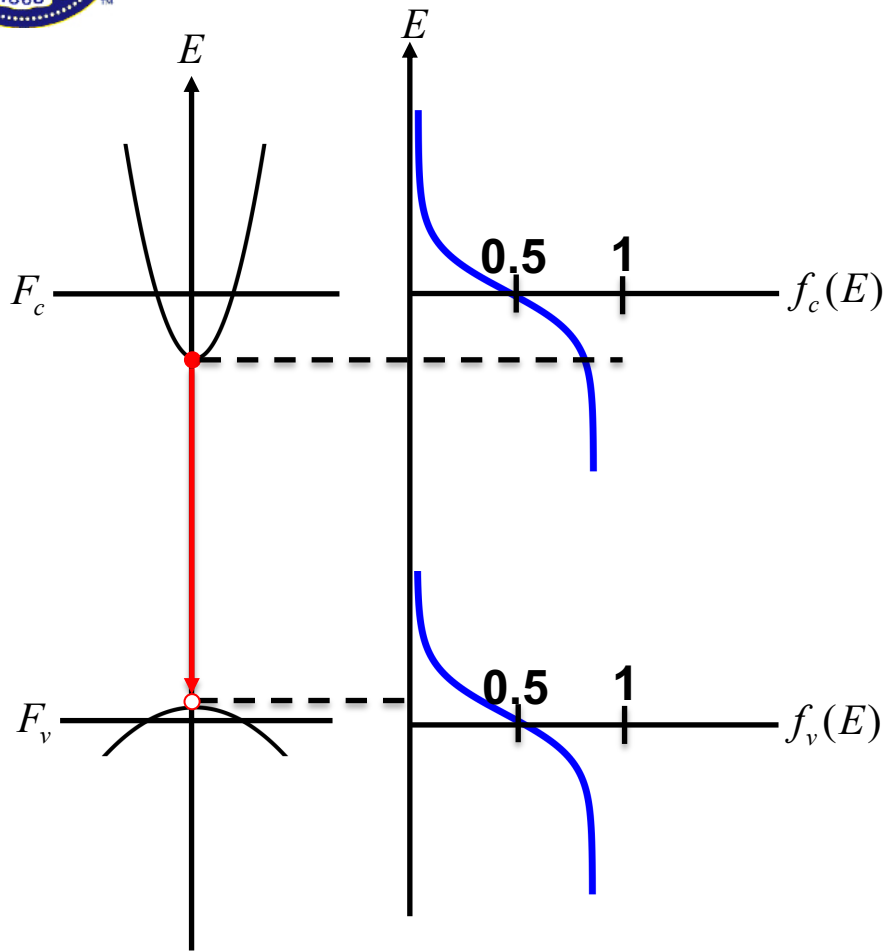


Fermi inversion factor





# Gain spectrum (T=300K)

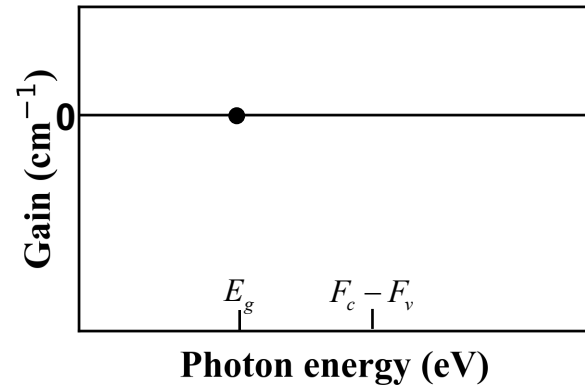
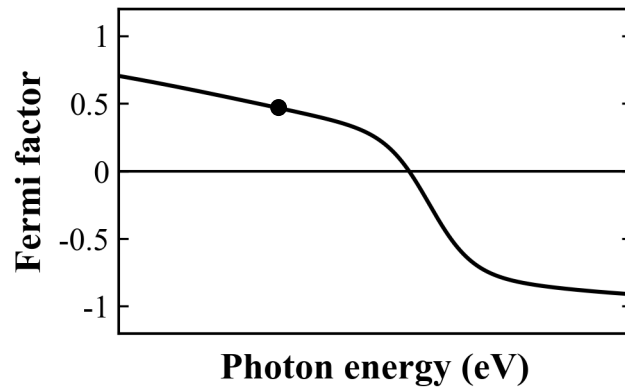
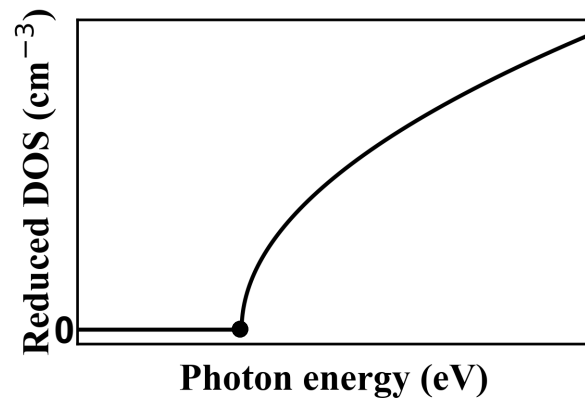


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$

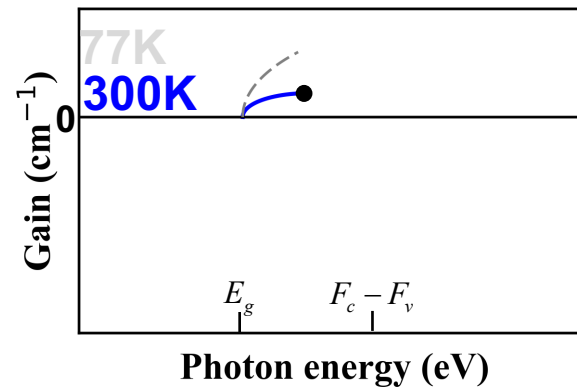
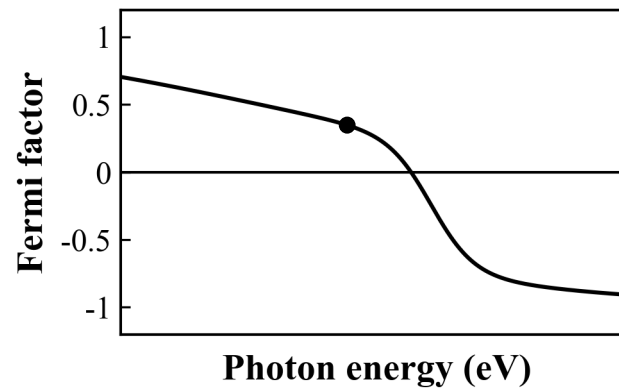
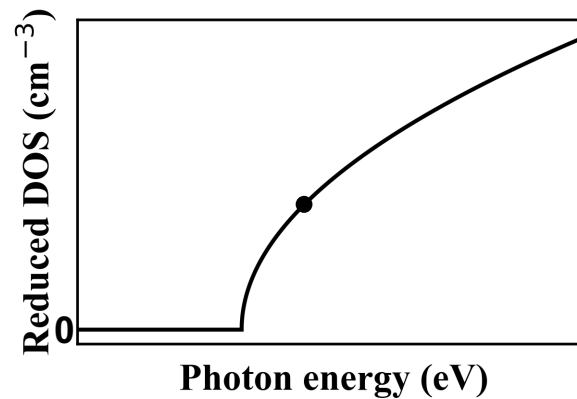
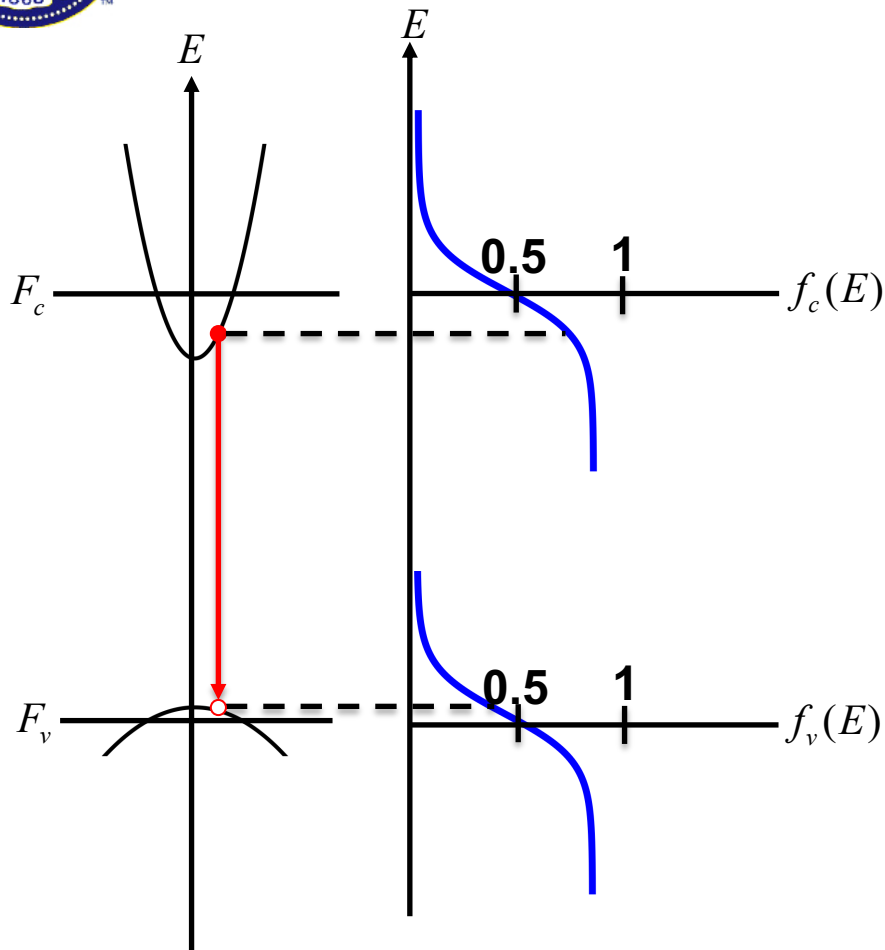


Fermi inversion factor





# Gain spectrum (T=300K)



$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

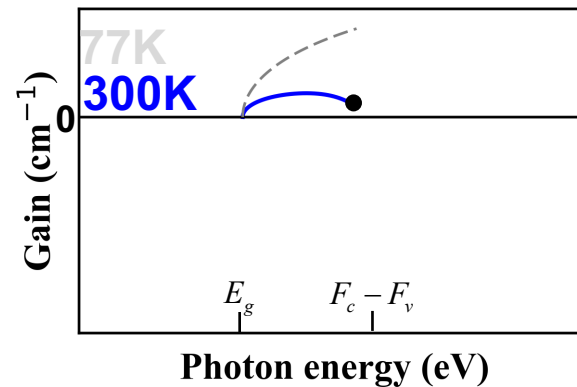
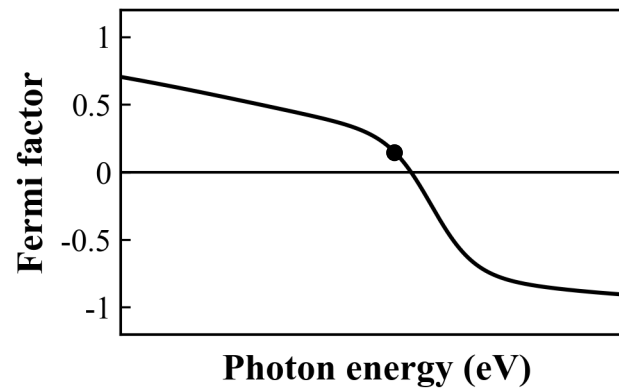
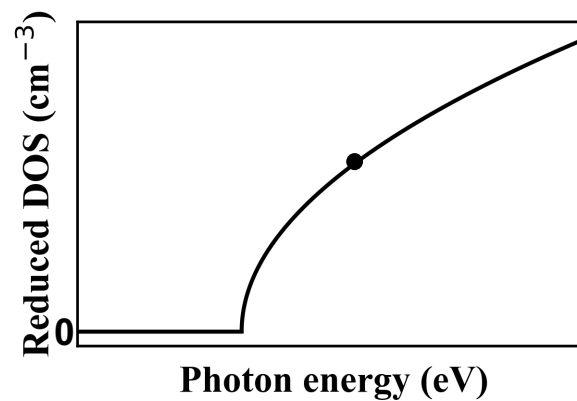
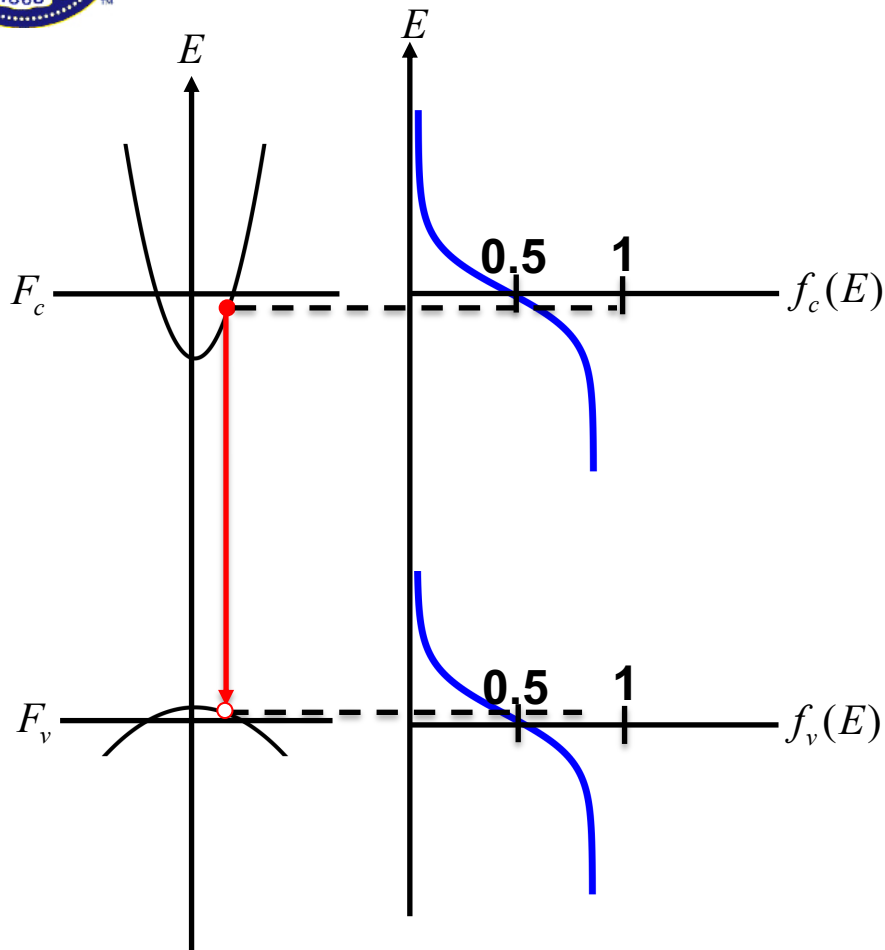
$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$



Fermi inversion factor



# Gain spectrum (T=300K)



$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

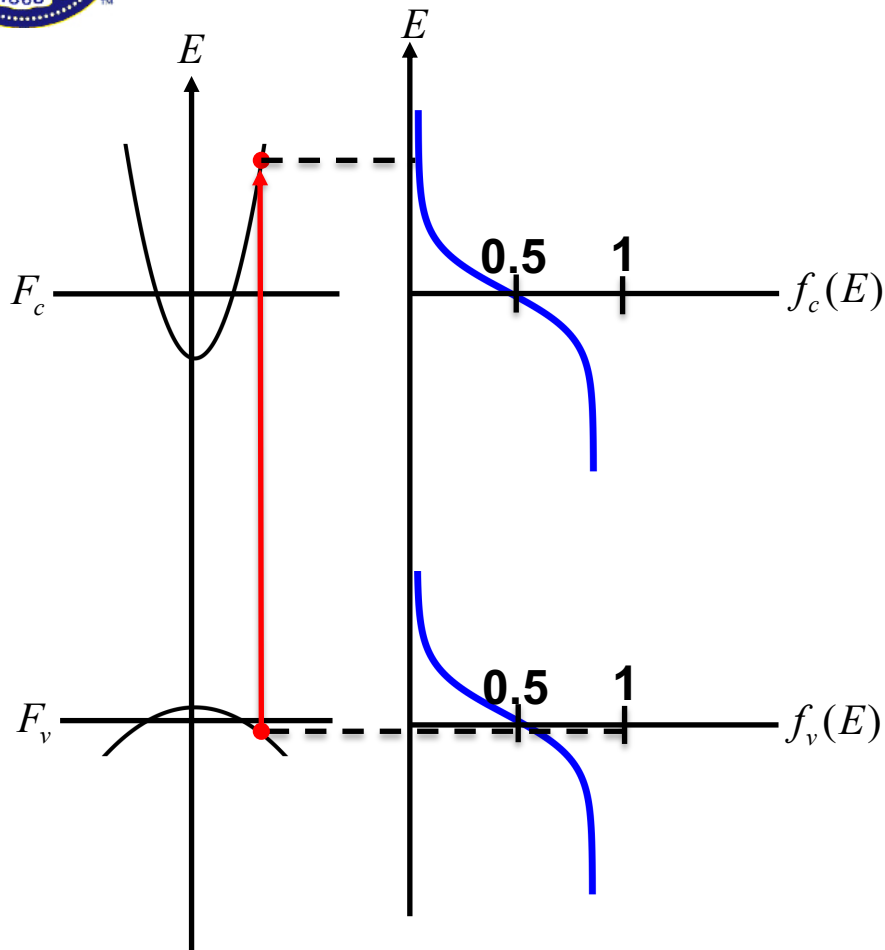
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Fermi inversion factor



# Gain spectrum (T=300K)

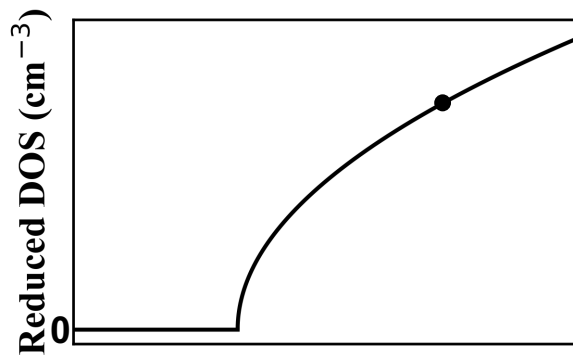


$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

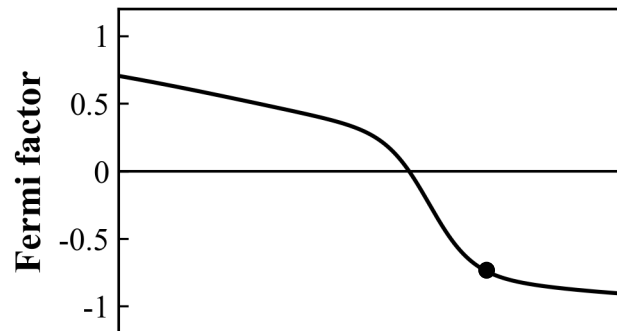
$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$



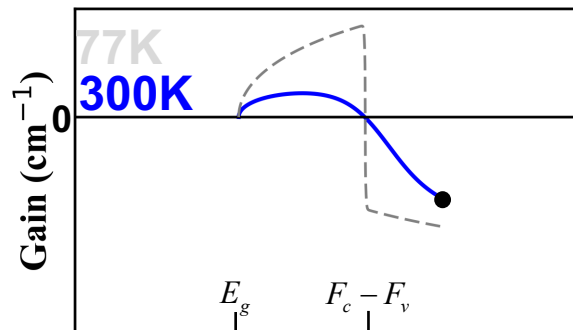
Fermi inversion factor



Photon energy (eV)



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Photon energy (eV)



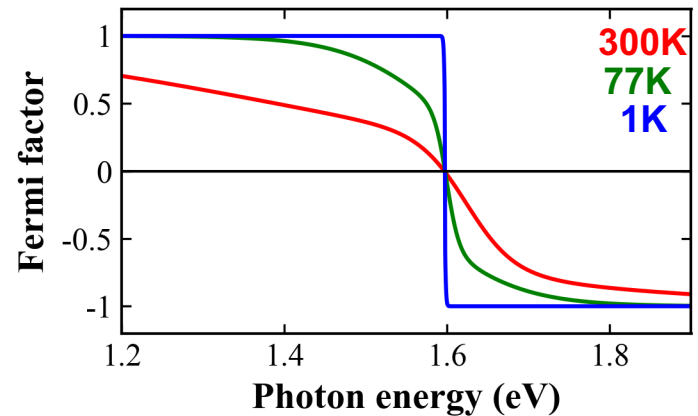
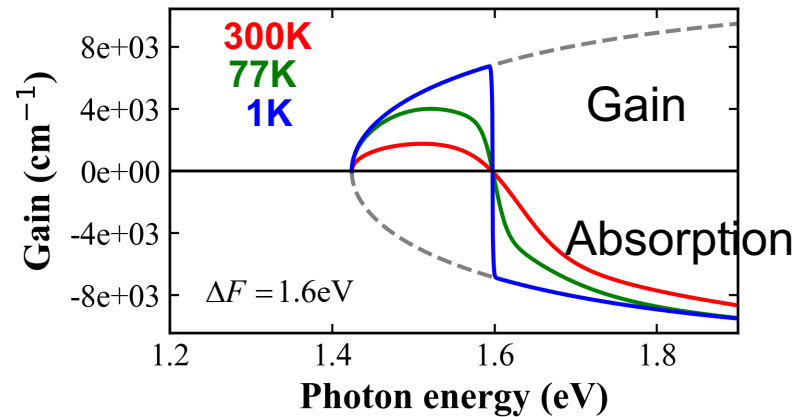
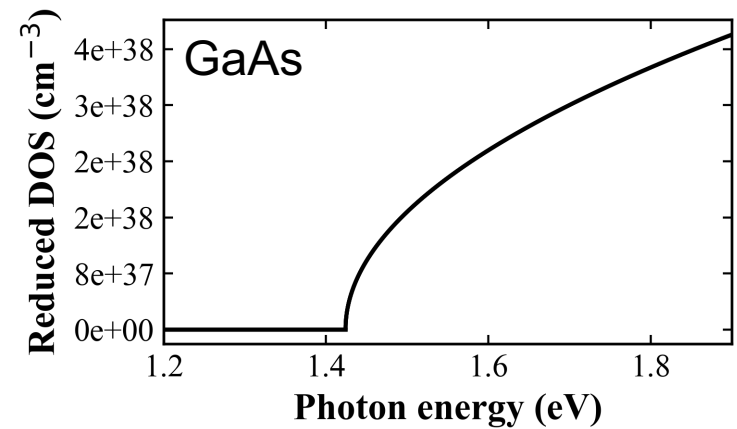
# Gain spectrum

$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

$$= C_0 M_b^2 \rho_r(\hbar\omega) [f_c(\hbar\omega) - f_v(\hbar\omega)]$$

↑  
Fermi inversion factor

**Calculated values  
for gallium arsenide (GaAs)**





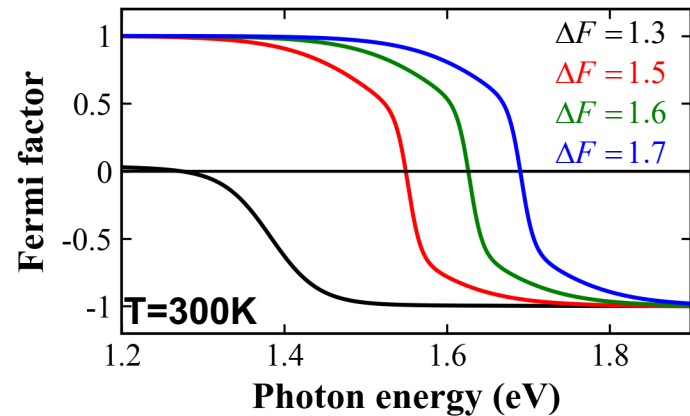
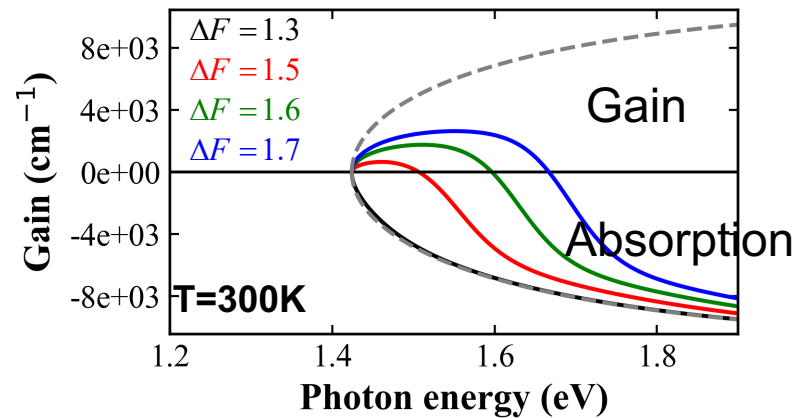
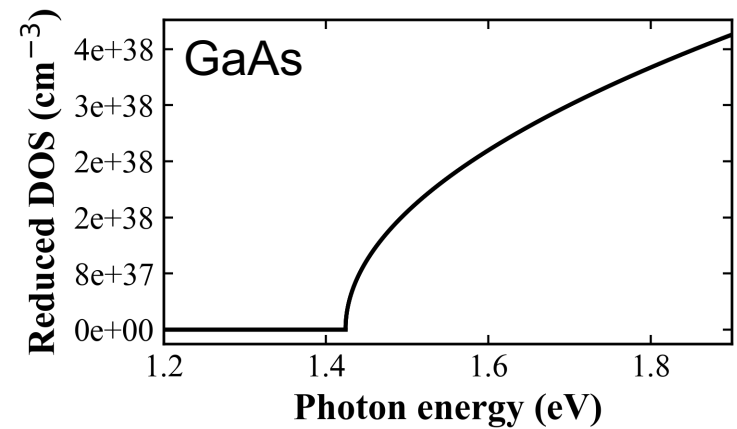
# Gain spectrum

$$g(\hbar\omega) = -\alpha(\hbar\omega)$$

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↑  
Fermi inversion factor

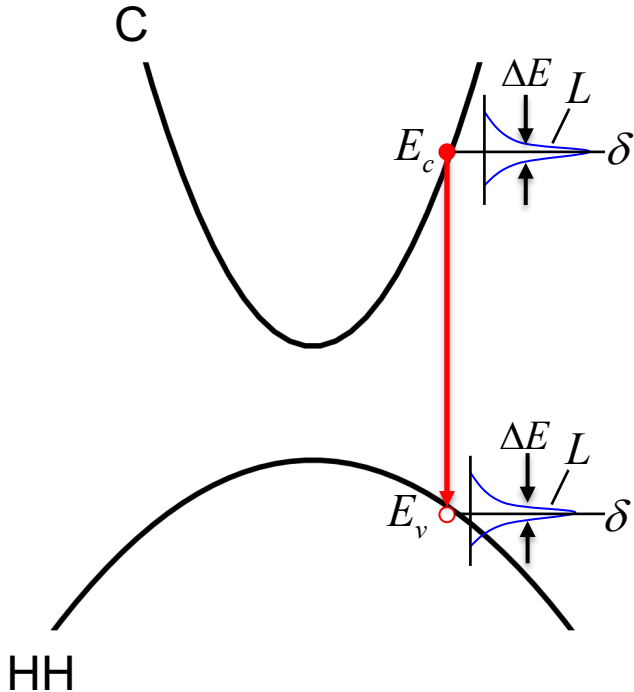
**Calculated values  
for gallium arsenide (GaAs)**







# Linewidth broadening



- Electron and hole have a finite lifetime in a state due to various intraband scattering processes.

$$\delta(E_e - E_h - \hbar\omega) \rightarrow L(E_e - E_h - \hbar\omega, \tau_{in})$$

$L$ : lineshape function

$\tau_{in}$ : intraband scattering time ( $\sim 100$  fs)

$$\mathcal{L}(E_e - E_h - \hbar\omega) = \frac{1}{\pi} \frac{\hbar\tau_{in}}{(\hbar/\tau_{in})^2 + (E_e - E_h - \hbar\omega)^2}$$

Lorentzian lineshape if probability of finding an electron/hole in a given state decreases exponentially with time.



# Linewidth broadening

$$\alpha = C_0 \frac{2}{V} \sum_{k_c} \sum_{k_v} |\hat{e} \cdot \mathbf{p}_{cv}|^2 \mathcal{L}(E_e - E_h - \hbar\omega) (f_v - f_c)$$
$$= C_0 |\hat{e} \cdot \mathbf{p}_{cv}|^2 \int \rho_r(E) \mathcal{L}(E_e - E_h - \hbar\omega) [f_v(E) - f_c(E)] dE$$

$$\alpha = C_0 |\hat{e} \cdot \mathbf{p}_{cv}|^2 \int \rho_r(E) \mathcal{L}(E + E_g - \hbar\omega) [f_v(E) - f_c(E)] dE$$

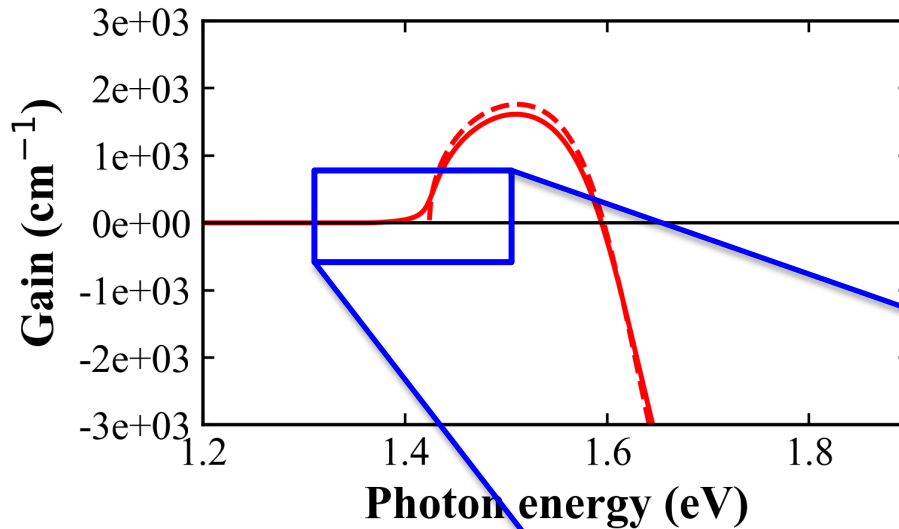
Recall  $E_e - E_h = E_g + \frac{\hbar^2 k^2}{2m_r^*} = E + E_g$

$$f_c(E) = \frac{1}{1 + \exp[(E_g + E m_r^*/m_e^* - F_c) / kT]}$$

$$f_v(E) = \frac{1}{1 + \exp[(-E m_r^*/m_h^* - F_v) / kT]}$$



# Linewidth broadening



- Lorentzian usually over-estimates sub-bandgap gain/absorption
- Will discuss other linewidth models later on.

