



EE 232 Lightwave Devices

Lecture 9: Integrated Photonic Components (2)

Instructor: Ming C. Wu

University of California, Berkeley
Electrical Engineering and Computer Sciences Dept.

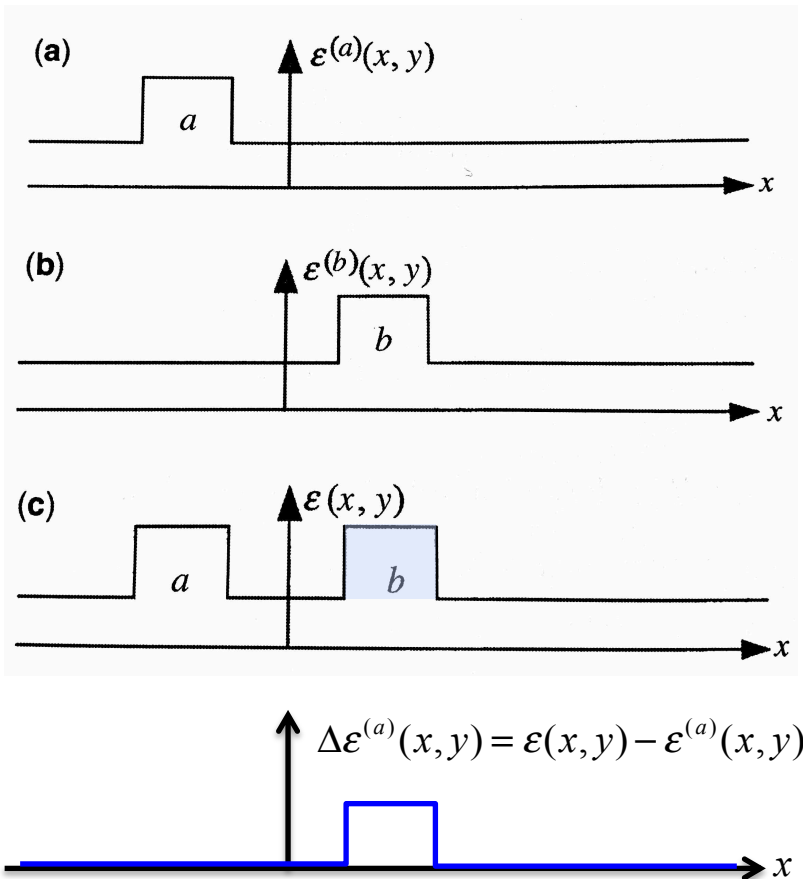
Reading: Chuang, Chap 8, 13.3.1-13.3.3



Coupling Coefficient

$$K_{ab} = \frac{\omega}{4} \iint \Delta \epsilon^{(a)} \left[\mathbf{E}_t^{(a)} \cdot \mathbf{E}_t^{(b)} - \mathbf{E}_z^{(a)} \cdot \mathbf{E}_z^{(b)} \right] dx dy$$

$$\Delta \epsilon^{(a)}(x, y) = \epsilon(x, y) - \epsilon^{(a)}(x, y)$$



Note: the field is normalized to have unity power flow

$$-\frac{1}{2} \iint E_y H_x^* dx dy = \frac{\beta}{2\omega\mu} \iint |E_y|^2 dx dy = 1$$

$$\iint |E_y|^2 dx dy = \frac{2\omega\mu}{\beta}$$

$$\text{Unit : } [\omega\epsilon] \left[\frac{\omega\mu}{\beta} \right] = \left[\frac{\omega^2 \mu \epsilon}{\beta} \right]$$

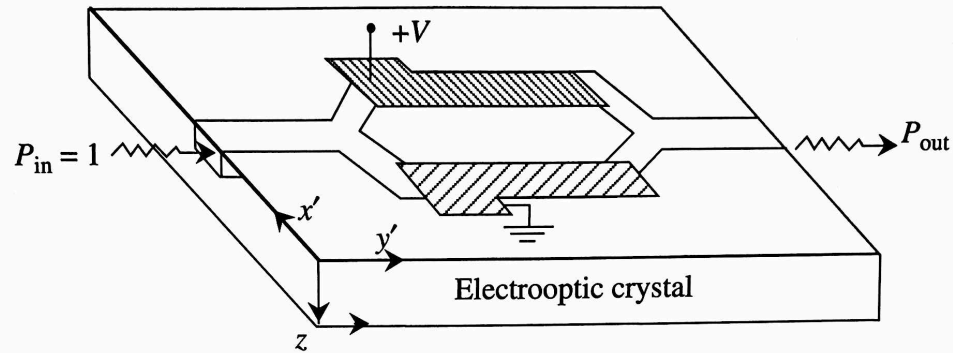
$$= \left[\frac{\omega^2 n^2}{c^2 \beta} \right] = \left[\frac{\beta^2}{\beta} \right] = [\beta]$$

For detail, see p.332 of Chuang and the reference there.

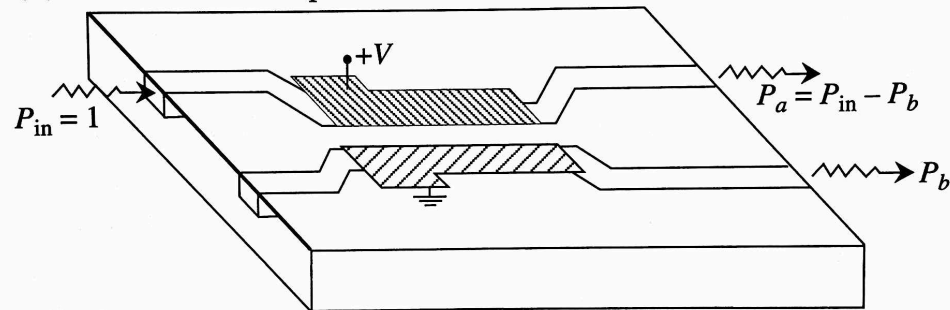


Electro-Optic Switches (Modulators)

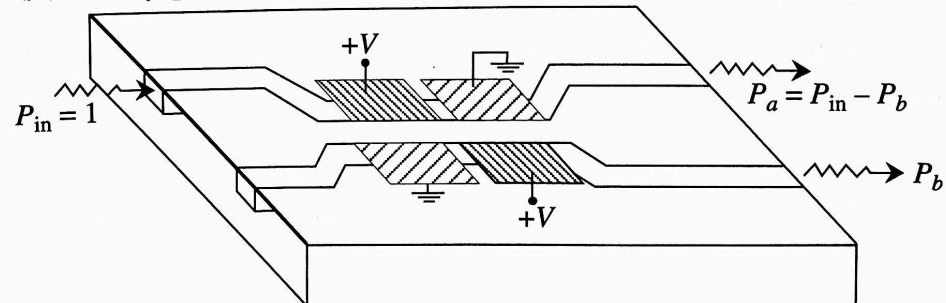
(a) A Mach-Zehnder interferometric waveguide modulator



(b) A directional coupler modulator

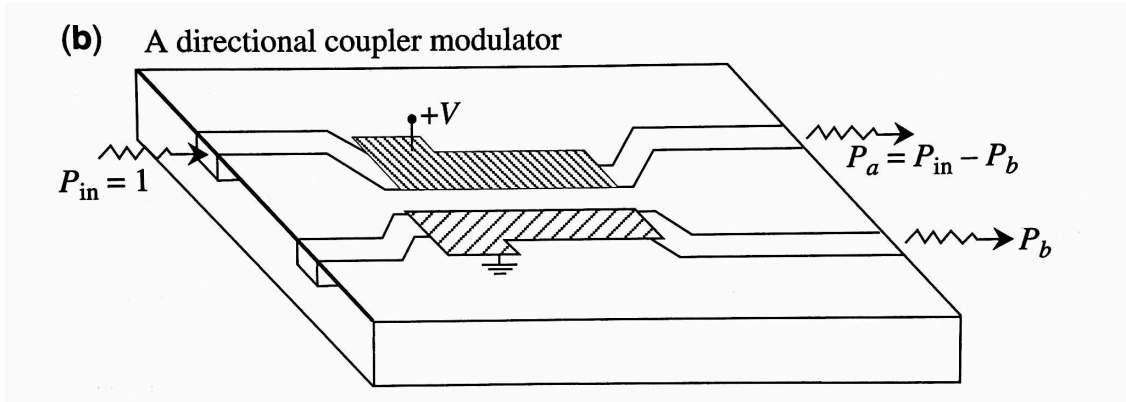


(c) A $\Delta\beta$ -phase-reversal directional coupler



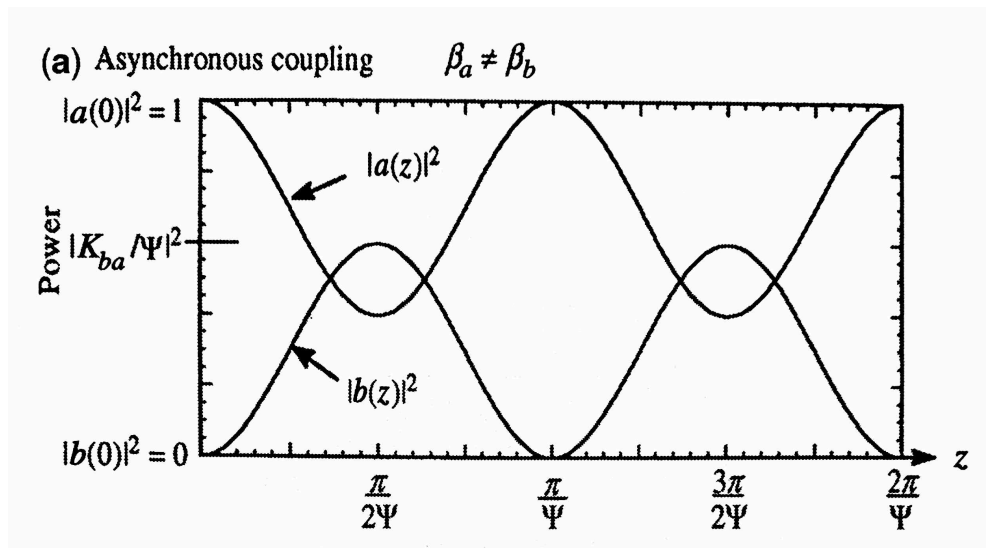


Directional Coupler Switch



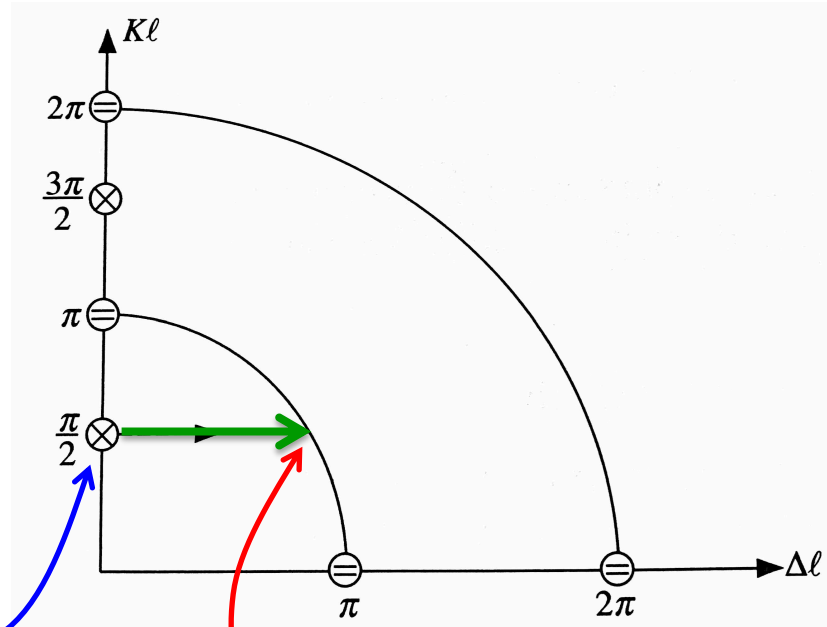
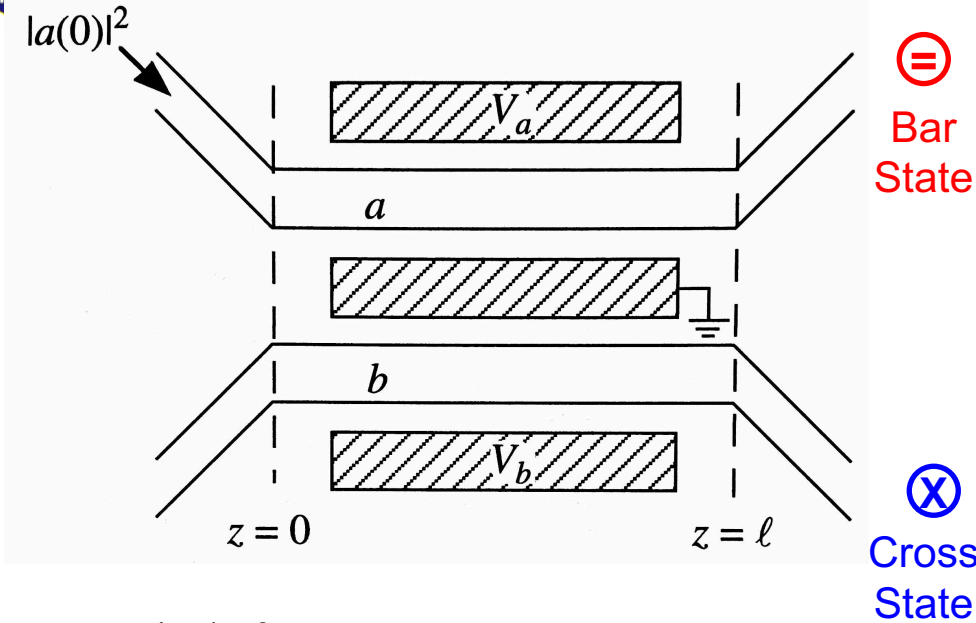
$$P_b = |b(z)|^2 = \frac{|K_{ab}|^2}{\left(\frac{\Delta\beta}{2}\right)^2 + |K_{ab}|^2} \sin^2 \left(\sqrt{\left(\frac{\Delta\beta}{2}\right)^2 + |K_{ab}|^2} \cdot z \right)$$

- $\Delta\beta$ can be changed by
- Electro-optic effect (in nonlinear $\chi^{(2)}$ media)
 - Thermo-optic effect
 - Carrier injection (in semiconductor)





Application: Optical Switches



Switch from Cross to Bar state:

Select length such that $K_{ab}l = \frac{\pi}{2}$ (Cross State)

$\Delta = \beta_a - \beta_b$ is tunable by electro-optic, or thermo-optic effect

Bar State: $\sqrt{\left(\frac{\Delta\beta}{2}\right)^2 + |K_{ab}|^2} \cdot l = \pi$

$\Rightarrow \Delta\beta \cdot l = \sqrt{3}\pi$



Issue with Directional Switch

If the coupler length of fabricated device

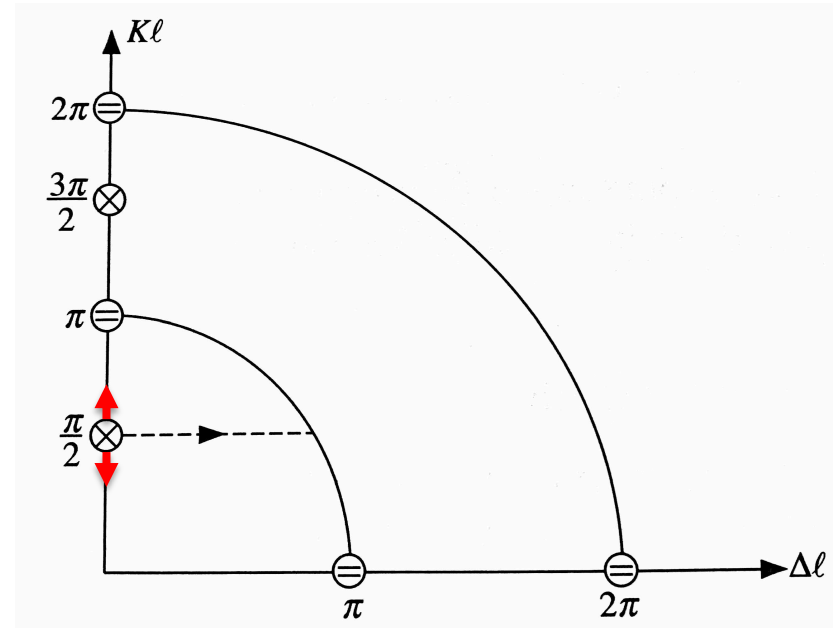
deviate from the designed value: $K_{ab} l \neq \frac{\pi}{2}$

The Cross port output is no longer zero:

$$P_b = |b(z)|^2 = \sin^2(K_{ab} \cdot l) \neq 0$$

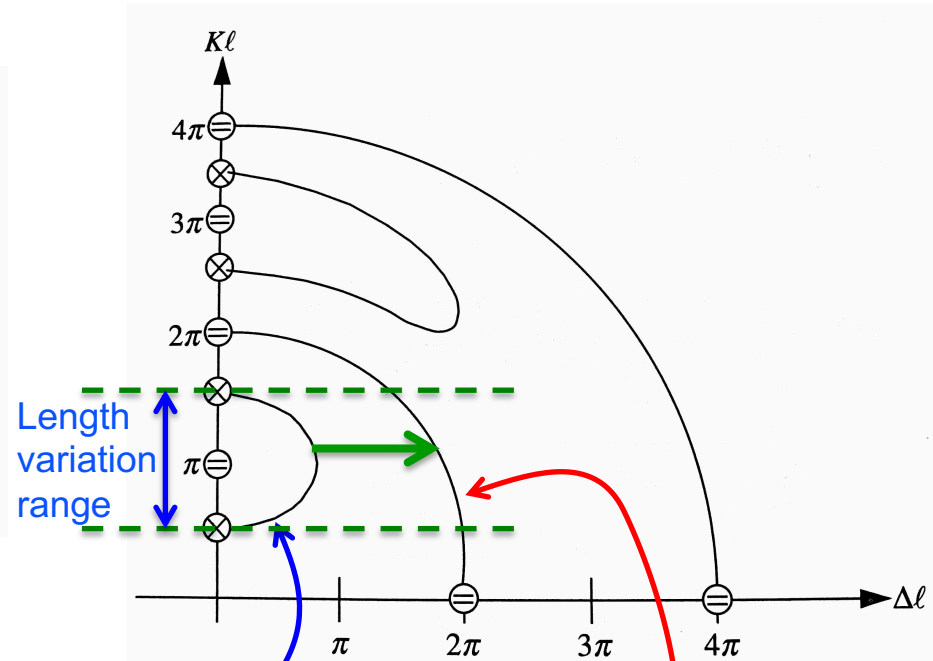
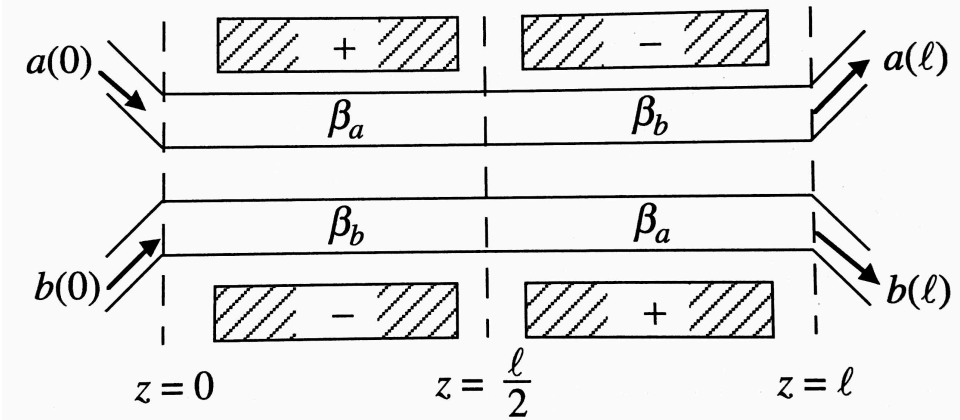
\Rightarrow Nonzero crosstalk,

cannot be corrected by electrical bias





Improved Switch: Alternating $\Delta\beta$ Coupler



$$a(l) = \left[\cos^2 \frac{ql}{2} + \frac{\Delta^2 - K^2}{q^2} \sin^2 \frac{ql}{2} \right] e^{i\phi l}$$

$$b(l) = i \frac{2K}{q} \sin \frac{ql}{2} \left[\cos \frac{ql}{2} + i \frac{\Delta}{q} \sin \frac{ql}{2} \right] e^{i\phi l}$$

Cross State: $a(l) = 0 \Rightarrow \cot^2 \frac{ql}{2} = \frac{K^2 - \Delta^2}{K^2 + \Delta^2}$ Note: $q = \sqrt{K^2 + \Delta^2}$

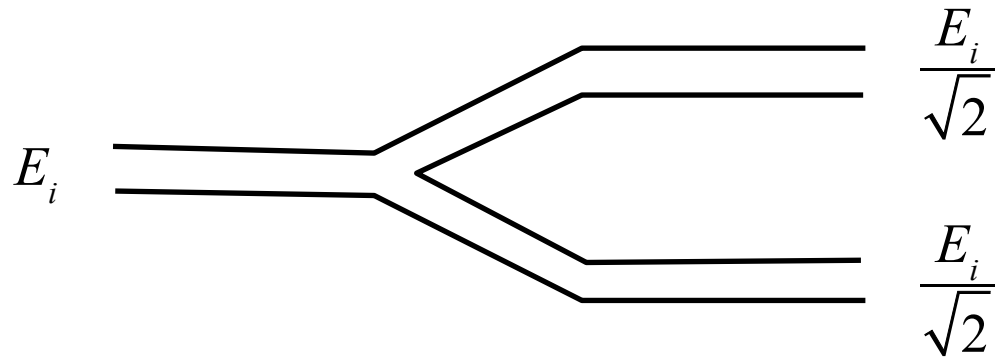
Bar State: $b(l) = 0 \Rightarrow \begin{cases} \sin \frac{ql}{2} = 0 \quad \text{or} \\ \cos^2 \frac{ql}{2} + \frac{\Delta^2}{q^2} \sin^2 \frac{ql}{2} = 0 \end{cases}$

Both Cross and Bar states can be adjusted by changing $\Delta\beta$

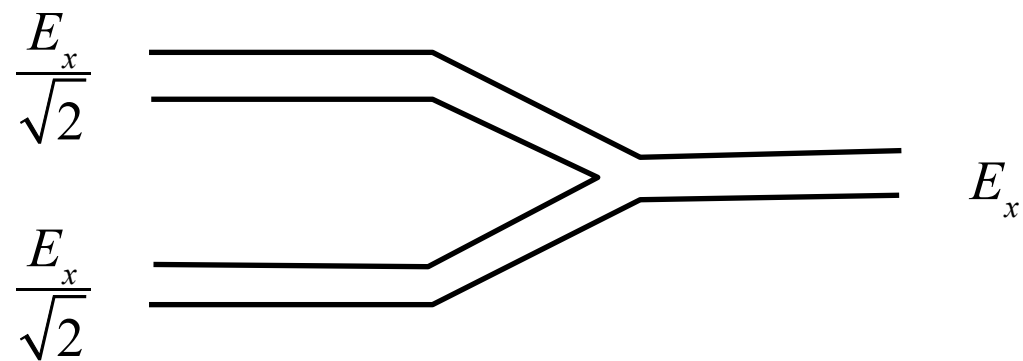


Y-Branch

Splitter



Combiner





Y-Branch Combiner

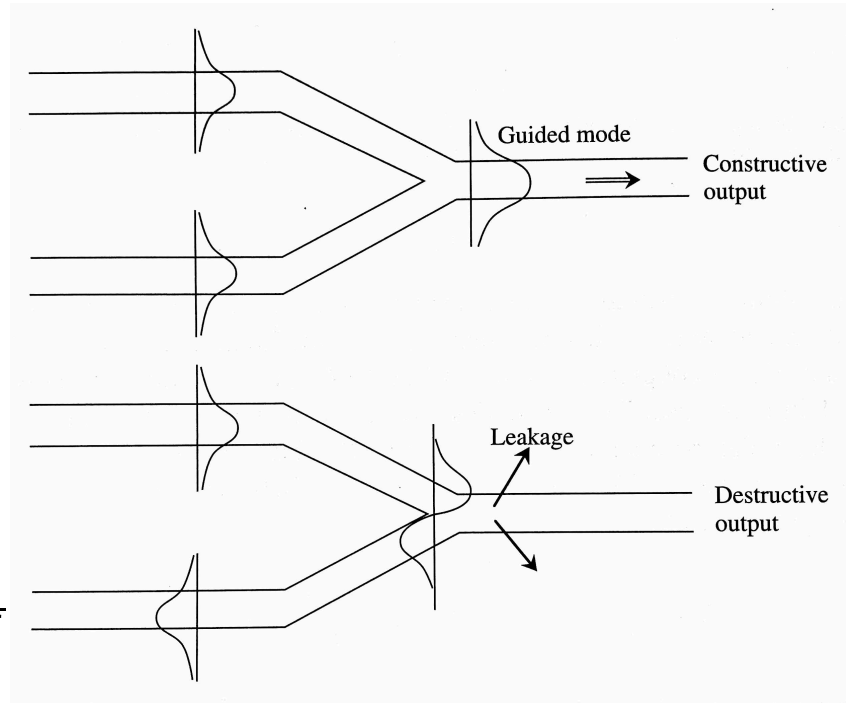
In-phase modes

$$\frac{E_x}{\sqrt{2}}$$

$$\frac{E_x}{\sqrt{2}}$$

$$\frac{E_x}{\sqrt{2}}$$

$$-\frac{E_x}{\sqrt{2}}$$



$$E_x$$

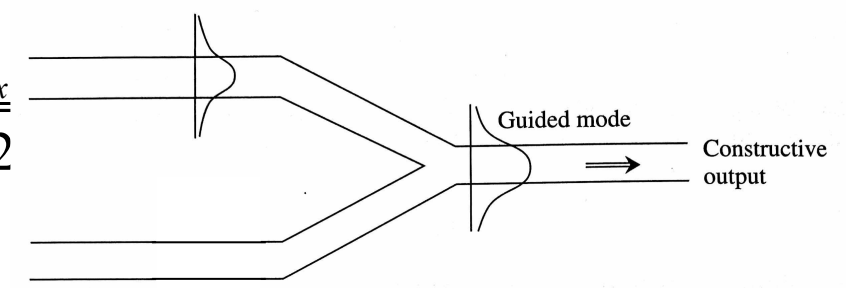
$$0$$

Out-of-phase modes

Superposition

$$2 \frac{E_x}{\sqrt{2}}$$

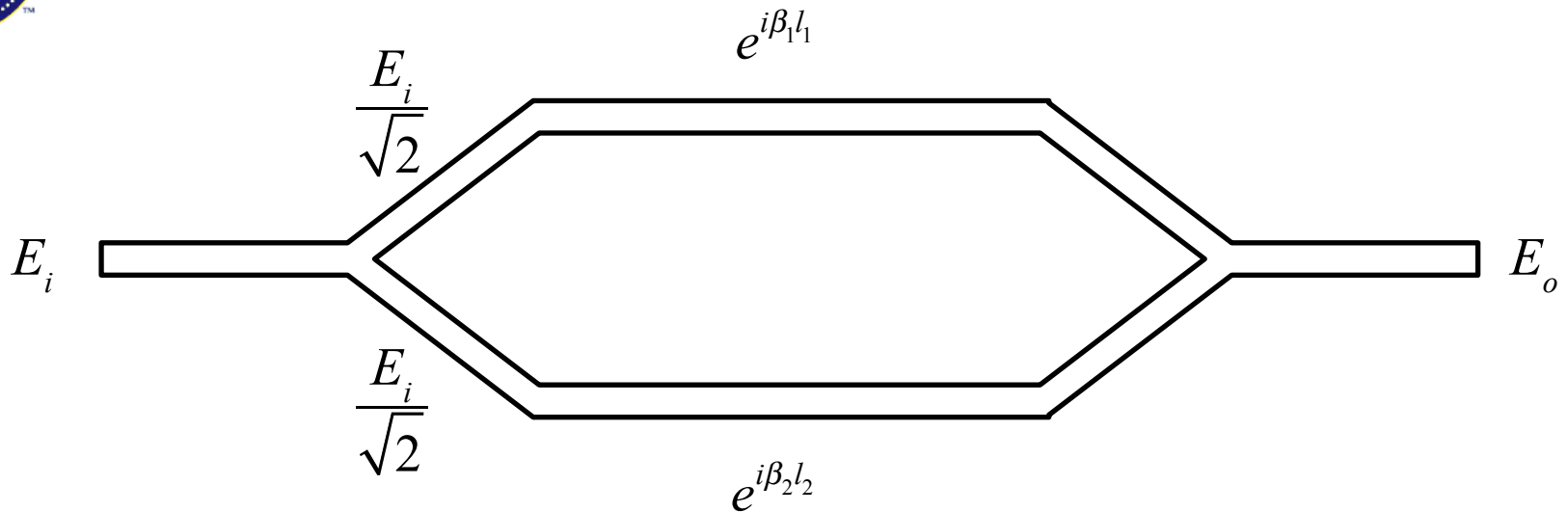
$$0$$



$$E_x$$



Mach-Zehnder Interferometer



$$E_o = \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{2}} E_i e^{i\beta_1 l_1} \right) + \frac{1}{\sqrt{2}} \left(\frac{1}{\sqrt{2}} E_i e^{i\beta_2 l_2} \right) = \frac{1}{2} E_i e^{i\frac{\beta_1 l_1 + \beta_2 l_2}{2}} \left(e^{i\frac{\beta_1 l_1 - \beta_2 l_2}{2}} + e^{-i\frac{\beta_1 l_1 - \beta_2 l_2}{2}} \right)$$

$$= E_i e^{i\frac{\beta_1 l_1 + \beta_2 l_2}{2}} \cos \left(\frac{\beta_1 l_1 - \beta_2 l_2}{2} \right)$$

$$|E_o|^2 = |E_i|^2 \cos^2 \left(\frac{\beta_1 l_1 - \beta_2 l_2}{2} \right)$$



Response of MZI

For $l_1 = l_2$

$$|E_o|^2 = |E_i|^2 \cos^2\left(\frac{\Delta\beta \cdot l}{2}\right)$$

